

2004-266-1

Re: Compliance Review Requested

A + T SPECIALTY MOVERS

Investigator: _____

✓ Compliance Review does not receive a rating

Terry A. Harvey
Compliance Review Officers

RECEIVED
MAR 28 2006
PSC SC
DOCKETING DEPT.

MCCU REVIEW SUMMARY SHEET



NAME of CARRIER/SHIPPER: A & T Specialty movers

TYPE of REVIEW: ☒ COMPLIANCE REVIEW ☐ SHIPPER REVIEW ☐ CARGO TANK REVIEW ☐ SAFETY AUDIT ☐ SCR

☒ ☐
DOT/ICC # 1349660 OPERATING AUTHORITY: ☐ INTERSTATE ☒ INTRASTATE

REVIEW DATES: DATE STARTED 3/24/2006
DATE FINISHED 3/28/2006 TOTAL HOURS 24

ENFORCEMENT RECOMMENDATIONS: If yes list which violations
INVESTIGATING OFFICER: ☐ YES ☐ NO Explain why or why not in "Remarks" area
SUPERVISOR ☐ YES ☐ NO Explain why or why not in "Remarks" area
ENFORCEMENT PREAPPROVAL via FMCSA: If yes list which violations in remarks
☐ YES ☐ NO

ENFORCEMENT DATES: DATE STARTED _____
DATE FINISHED _____ TOTAL HOURS _____

CHECK if APPLICABLE: ☐ PSC AUTHORITY ☐ PROFILE RAN ☐ COMPLAINT ☐ HM SHIPPER ☐ HM CARRIER ☐ IFTA ☐ IRP
☐ DPS AUTHORITY

SAFESTAT LIST: ☐ A ☐ B ☐ C ☐ D ☐ E ☐ HM ☐ BUS ☐ OTHER ☐ STP SHIPPER LIST

REVIEW RATING: Not Rated OOS RULE: If applicable ☐ 45 DAYS ☐ 60 DAYS


REVIEW COPIES: Check when completed
FMCSA: ☐ UPLOADED TO MCMIS ☐ SCANNED TO FMCSA
MCC OFFICE: ☐ EMAILED NOTICE TO MCC ☐ PART A TO MCC
SAFETY NET: ☒ COPY TO TRISH

CASE COPIES: Check when completed
FMCSA: ☐ 1 EXPORT TO DISKETTE ☐ 1 PAPER COPY
SAFETY NET: ☐ 1 EXPORT TO DISKETTE ☐ ORIGINAL & 1 PAPER COPY

INVESTIGATOR NAME: Terry W. harvey STP CODE: SC0036

Remarks: _____

South Carolina State Transport Police

	US DOT # 01349660	Legal: KENNETH M ELLIS Operating (DBA): A & T SPECIALTY MOVERS																						
MC/MX #:		Federal Tax ID: [REDACTED] (SSN)																						
Review Type: Compliance Review (CR)																								
Scope: Principal Office		Location of Review/Audit: Company facility in the U. S.		Territory:																				
Carrier: N/A Non-HM Shipper: N/A N/A Cargo Tank: N/A		Business: Individual Gross Revenue: \$0.00 for year ending: 3/24/2006																						
771 Long Point Road Mt Pleasant, SC 29464																								
Contact Name: Kenneth M. Ellis Phone numbers: (1) 770-469-0780 (2) 843-817-1478 Fax E-Mail Address: atmoversmtpleasant@nextel.blackberry.net																								
3491 One Buckhead Loop Atlanta, SC 30326																								
Other: Applied For																								
Household Goods																								
Does carrier transport placardable quantities of HM? No																								
Is an HM Permit required? N/A																								
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td></td> <td align="center">Inter</td> <td align="center">Intra</td> </tr> <tr> <td>< 100 Miles:</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td>>= 100 Miles:</td> <td align="center">0</td> <td align="center">1</td> </tr> </table>			Inter	Intra	< 100 Miles:	0	0	>= 100 Miles:	0	1	Average trip leased drivers/month: 0 Total Drivers: 1 CDL Drivers: 0													
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< 100 Miles:	0	0																						
>= 100 Miles:	0	1																						
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	Owned			Term Leased			Trip Leased																	
Truck	1	0	0	0	0	0	0	0	0															
Power units used in the U.S.: 1																								
Percentage of time used in the U.S.: 100																								



A & T SPECIALTY MOVERS (KENNETH M ELLIS dba)

U.S. DOT #: 01349660

Review Date:

03/24/2006

Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety or Hazardous Materials rules may be addressed to the Office of Motor Carriers at:

South Carolina State Transport Police, Motor Carrier Compliance Unit
at 10311 Wilson Blvd., PO Box 1993, Blythewood, SC 29016
(803-896-5500)

This report will be used to assess your safety compliance.

Person(s) Interviewed

Name: Kenneth M. Ellis

Title: Owner

Name:

Title:

Reported By: *Terry W. Haney*

Title: *S/I*

Code: SC0036 Date: 3/24/2006

Received By: *Kenneth M. Ellis*

Title: *OWNER*

**A & T SPECIALTY MOVERS (KENNETH M ELLIS dba)**

U.S. DOT #: 01349660

Review Date:

03/24/2006

Part B Violations

1 STATE	Primary: 390.21(b) CFR Equivalent: 390.21(b)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 1	Checked 1
Description Failing to mark a commercial motor vehicle with the legal name or a single trade name and/or the USDOT identification number. Example Driver - Kenneth M. Ellis - Trip Date - 03/24/2006.					
2 STATE	Primary: 391.23(a) CFR Equivalent: 391.23(a)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 1	Checked 1
Description Failing to investigate driver's background. Example Driver - Kenneth M. Ellis - Trip Date - 03/24/2006.					
3 STATE	Primary: 391.45(a) Secondary: 391.11(a) CFR Equivalent: 391.45(a)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 1	Checked 1
Description Using a driver not medically examined and certified. Example Driver - Kenneth M. Ellis - Trip Date - 03/24/2006.					
4 STATE	Primary: 396.3(b)(1) CFR Equivalent: 396.3(b)(1)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 1	Checked 1
Description Failing to keep a maintenance record which identifies the vehicle, including make, serial number, year, and tire size. Example Vehicle - 1998 Intl - Serial Number - 06507 - Trip Date - 03/24/2006.					
5 STATE	Primary: 396.19(b) CFR Equivalent: 396.19(b)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 1	Checked 1
Description Failing to maintain evidence of inspector's qualifications. Example Inspector - Asthur Rogers - Inspection Date - 11/28/2005 - Trip Date - 03/24/2006.					
Safety Fitness Rating Information: Total Miles Operated 500 Recordable Accidents 0		OOS Vehicle (CR): 0 Number of Vehicle Inspected (CR): 1 OOS Vehicle (MCMIS): 0 Number of Vehicles Inspected (MCMIS): 0			





A & T SPECIALTY MOVERS (KENNETH M ELLIS dba)
U.S. DOT #: 01349660

Review Date:
03/24/2006

Part B Violations

Your proposed safety rating is :

This Review is not Rated.





A & T SPECIALTY MOVERS (KENNETH M ELLIS dba)

U.S. DOT #: 01349660

Review Date:


03/24/2006

Part B Requirements and/or Recommendations

1. Copies of the regulations, forms, interpretations, and manuals are available from a variety of sources. Check the FMCSA website for a current list of suppliers. www.fmcsa.dot.gov/factsfigs/eta/forms.html.
2. Ensure that all vehicles are properly marked with your name or trade name and U.S. DOT number. If your vehicles are also periodically operating for other carriers, they must be marked with that carrier's name and U.S. DOT#.
3. Conduct periodic internal reviews of your driver qualification, hours of service control, maintenance, accident analysis/reporting, training, and other safety systems to ensure continued compliance with the FMCSR.
4. Ensure that all drivers are fully and properly qualified before operating in interstate commerce. Maintain a complete file as required for each driver, documenting the qualification process.
5. Do not allow drivers to drive interstate unless they have been physically re-examined each 24 months.
6. Require all drivers to prepare complete and accurate records of duty status for each day, and to submit them within 13 days. Maintain all duty status records on file, with all supporting documents, for at least 6 months.
7. Ensure that all documents supporting records of duty status (such as toll, fuel repair and other on-the-road expense receipts, as well as invoices, bills of lading, dispatch records, etc.) are kept on file for at least 6 months.
8. If you want some drivers to use the 100 air-mile radius exemption, make sure that the drivers meet all terms of the exemption, including being released from duty no more than 12 hours from when they report for duty. Logs must be prepared if a driver does not meet the 12 hour requirement.
9. Within 15 days, send a letter to the FMCSA describing what actions you have taken in response to this review to ensure that you are complying with the Federal Motor Carrier Safety Regulations.
10. This report contains citations of regulations that are deemed serious in nature and could result in penalties against your company and/or your drivers.
11. A complete Educational and Technical Assistance package entitled "A MOTOR CARRIER'S GUIDE TO IMPROVING HIGHWAY SAFETY" is available free on the FMCSA website to assist you in complying with the safety regulations. It contains many forms and documents useful for improving the safety of your operations. Check: www.fmcsa.dot.gov/factsfigs/eta/index.html.
12. For questions about DOT numbers or biennial updates: 800-832-5660 or 703-280-4001
For questions about licensing, authority or MC numbers: 202-366-9805
For questions about insurance: 202-385-2423
For household goods complaints: 888-DOT-SAFT (888-368-7238)



South Carolina State Transport Police

	US DOT # 01349660	Legal: KENNETH M ELLIS Operating (DBA): A & T SPECIALTY MOVERS														
MC/MX #:		Federal Tax ID: [REDACTED]														
Review Type: Compliance Review (CR) - Receipt																
Scope: Principal Office		Location of Review/Audit: Company facility in the U. S.		Territory:												
Carrier: N/A Non-HM Shipper: N/A N/A Cargo Tank: N/A		Business: Individual Gross Revenue: \$0.00 for year ending: 3/24/2006														
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Total Pages	6															
Disclaimer: By signing below, I acknowledge that I have received a copy of this review/audit and agree with the total number of pages indicated (above) for each document. My signature does not imply agreement with the findings of the review/audit, however they have been discussed in detail with me.																
QUESTIONS regarding this report or the Federal Motor Carrier Safety or Hazardous Materials rules may be addressed to the Office of Motor Carriers at: South Carolina State Transport Police, Motor Carrier Compliance Unit at 10311 Wilson Blvd., PO Box 1993, Blythewood, SC 29016 (803-896-5500)																
This report will be used to assess your safety compliance.																
Person(s) Interviewed																
Name: Kenneth M. Ellis		Title: Owner														
Name:		Title:														
Reported By: <i>FW Harvey</i>		Title: <i>S/F</i>		Code: SC0036 Date: 3/24/2006												
Received By: <i>Kenneth M. Ellis</i>		Title: <i>OWNER</i>														





A & T SPECIALTY MOVERS (KENNETH M ELLIS dba)
U.S. DOT #: 01349660

Review Date:
03/24/2006

Part C

Reason for Review: Company Request
Planned Action: Compliance Monitoring
Safestat Category:

Parts Reviewed Certification:

325	382	383	387	390	391	392	393	395	396	397	398	399	171	172	173	177	178	180
			✓	✓	✓	✓	✓	✓	✓									

Prior Reviews

Prior Prosecutions

Unsat/Unfit Information

Does passenger vehicle transport more than 15 passengers, including driver?

Does carrier transport placardable quantities of hazardous materials?

Unsat/Unfit rule: Not Applicable

Corporate Contact: Kenneth M. Ellis
Corporate Contact Title: Owner

Special Study Information:

Remarks:

This investigation was initiated because the carrier had applied to the South Carolina Public Service Commission for a Class E (HHG) Certificate. This certificate requires that the carrier be in compliance with the FMCSR as adopted by South Carolina. A intrastate compliance review was conducted. A & T Specialty Movers is an intrastate for hire carrier of household goods. Carrier has a DOT Number, but is not an interstate for hire carrier at this time. Personnel present during the review was Kenneth Ellis, Owner. Carrier has one straight truck with a gvwr. of 25,500. All Records were provided by Kenneth Ellis and were kept at the 771 Long Point Road, Mt. Pleasant, SC address. Carrier has one driver that being Kenneth Ellis.

In Part 390, carrier failed to mark vehicle with name and DOT. number.

In Part 391, carrier failed to do previous employer check and the driver did not have a medical examination certificate.

In Part 396, carrier did not have a maintenance file identifying vehicle and inspector's certification on file.

Carrier was given an ETA package and appropriate websites and numbers to obtain information.

Principal Reviewer Signature <i>Terry W. Hawley</i>	SC0036	Upload Authorized: Yes No	Date:
Assistant Reviewers Signature(s)		Authorized by: Yes No	Failure Code:
		Uploaded: Yes No	Date:
		Verified by:	





State Transport Police
PO Box 1993
<http://www.scdps.org>
Blythewood, SC 29016
Phone: (803)896-5500 Fax: (803)896-5526

DRIVER/VEHICLE EXAMINATION REPORT
Report Number: SCTH36000386
Inspection Date: 03/24/2006
Start Time: 10:17 AM End Time: 10:49 AM
Insp. Level: 5-Terminal, No HM Insp.

KENNETH MITCHELL ELLIS
3491 ONE BUCKHEAD LOOP #90
ATLANTA, GA 30326

USDOT#: 01349660

Phone#: (770)469-0780

MC/MX#:

Fax#:

State#:

Location: 19019

Highway:

County: CHARLESTON, SC

MilePost:

Origin:

Destination:

Driver:

License#:

State:

Date of Birth:

CoDriver:

License#:

State:

Date of Birth:

Shipper:

Bill of Lading:

Cargo:

VEHICLE IDENTIFICATION

Unit	Type	Make	Year	State	License #	Company #	Vin #	GVWR	CVSA #	OOS#
1	TR	INTL	1998	SC	NONE	1	1HTSCAAM3WH506507			

BRAKE ADJUSTMENTS

Axle #	1	2
Right	N/A	N/A
Left	N/A	N/A
Chamber	HYDR	HYDR

VIOLATIONS

Section Code	St	Unit	OOS	Citation #	Verify	Crash	Violations Discovered
390.21(b)		1	N		N	N	Carrier name and/or USDOT reqd; Not displayed
393.60(c)		1	N		N	N	Damaged or discolored windshield Cracked

HazMat: No HM Transported.

Placard: No

Cargo Tank:

Special Checks: No Data for Special Checks.

Signature Of Repairer X: _____ Facility: _____ Date: _____

CARRIER CERTIFICATION: The undersigned certifies that all violations on this report have been corrected and action has been taken to assure compliance with the Federal Motor Carrier Safety and Hazardous Material Regulations insofar as they are applicable to motor carriers and drivers. This certification MUST BE SIGNED by the Motor Carrier and RETURNED WITHIN 15 days to the: South Carolina State Transport Police, P.O. Box 1993, Blythewood, South Carolina 29016.

Signature Of Motor Carrier X: _____ Title: _____ Date: _____

Report Prepared By:

T W HARVEY

Badge #:

019

Copy Received By:

Page 1 of 1

X

X



SCTH36000386